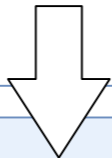


GCRF due diligence framework

Application

Collaborator/ grantee selection at proposal stage



Collaborator due diligence review
Desk based research to identify any reputational issues with the proposed partners at application

Country risk - political/
economic

Organisational risk - news coverage, governance

Reputational review signed off by the Assistant Director of the School at application stage. If significant issues are identified these should be escalated internally, involving the PI.

The collaborator will also be informed of the post award assurance requirements at application stage.

Award activation

Assurance assessment
Questionnaire to review at award stage to assess the research administration structure of the project partner/s

Financial probity

Grant procedures in place to manage subawarded funds

Sending out the questionnaire is based on a threshold of £25k being sub-awarded to the partner institution.

However if issues of concern have been highlighted at application stage the DD team can recommend lowering this to £10k.

Grant starts

Risk mitigation
Controls to mitigate any risk identified through the due diligence review stages

Long term monitoring

Contractual safeguards

Other factors: size of grant, funding in advance, previous partnership